

Lecture on semi-classical trace formula

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 - Introduction
 - Poisson summation formula
 - Selberg trace formula
 - harmonic oscillator
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 - Weyl term
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Semi-classical Gutzwiler trace formula

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Trace formula provide one of the most elegant descriptions of the **classical-quantum correspondence**. The trace formula is a general identity

$$\sum \text{geometric terms of } C, H = \sum \text{spectral terms of } QH$$

The spectral terms contain arithmetic information of a fundamental nature (eigenvalues of quantum Hamiltonian). However, they are highly inaccessible. The geometric terms are described in terms of closed orbits of the corresponding classical Hamiltonian.

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Let $\Gamma = \bigoplus_{i=1}^n \mathbb{Z} e_i$ be a lattice in \mathbb{R}^n , where (e_1, \dots, e_n) is a basis in \mathbb{R}^n .

Let \mathbb{R}^n/Γ be the flat torus, and

$$\Gamma^* = \{\gamma^* \in \mathbb{R}^n; \langle \gamma, \gamma^* \rangle \in 2\pi\mathbb{Z}, \forall \gamma \in \Gamma\}.$$

For $f \in \mathcal{S}(\mathbb{R}^n)$, the Poisson summation formula may be stated as

$$\sum_{\gamma \in \Gamma} f(\gamma) = \text{vol}(\mathbb{R}^n/\Gamma) \sum_{\gamma^* \in \Gamma^*} \widehat{f}(\gamma^*), \quad f \in \mathcal{S}(\mathbb{R}^n).$$

In the case where $f = F(|x|)$, $\widehat{f} = G(|\xi|)$, the Poisson summation formula is an exact formula relating the

- $| \gamma |$: the period of the periodic geodesics
- $| \gamma^* |^2$. the eigenvalues of the $-\Delta$ on the torus \mathbb{R}^n/Γ .

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The Selberg trace formula is an exact summation formula concerning the case of locally symmetric spaces; this formula was interpreted by H. Huber [Hub59] as a formula relating eigenvalues of the Laplace operator and lengths of closed geodesics (also called the lengths spectrum) on a closed surface of curvature -1 .

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Consider the classical hamiltonian :

$$H(x, \xi) = \frac{\xi^2 + x^2}{2}, \quad (x, \xi) \in \mathbb{R}^2$$

Let us denote by

$$\Phi_t(x, \xi) = (x \cos(t) + \xi \sin(t), -x \sin(t) + \xi \cos(t)) = (x(t), \xi(t))$$

the classical flow induced by Hamiltons equations

$$x'(t) = \xi(t), \quad \xi'(t) = -x(t), \quad x(0) = x, \quad \xi(0) = \xi.$$

- Fixe $E > 0$, and let

$$\Sigma_E = \{(x, \xi) \in \mathbb{R}^2; H(x, \xi) = E\}.$$

The period of the closed orbits $\gamma_{2n\pi}(E)$ on Σ_E are $2n\pi$,
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Consider the one-dimensional harmonic oscillator corresponding to the classical Hamiltonian $H(x, \xi) = \frac{1}{2}(x^2 + \xi^2)$:

$$\widehat{H} = \frac{1}{2}(-\partial_x^2 + x^2).$$

The eigenvalues of \widehat{H} are :

$$E_n(h) = (n + \frac{1}{2}), n = 0, 1, 2, \dots$$

Let $\phi \in S(\mathbb{R})$. Assume that $\tilde{\phi}(x) := \phi(x - E) = \tilde{\phi}(-x)$. Applying the Poisson formula to $\tilde{\phi}(x)$, we obtain:

$$\begin{aligned} \text{tr} \phi(H - E) &= \sum_{n=0}^{\infty} \phi(n + \frac{1}{2} - E) = \sum_{n=0}^{\infty} \tilde{\phi}(n + \frac{1}{2}) \\ &= \frac{1}{2} \sum_{n \in \mathbb{Z}} \tilde{\phi}(n + \frac{1}{2}) = \pi \widehat{\phi}(0) + \pi \sum_{n \in \mathbb{Z}^*} e^{-i2\pi n E} e^{in\pi} \widehat{\phi}(2\pi n). \end{aligned}$$

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In the above formulas, such as the original Selberg trace formula, **the identities are exact**, while in general they hold only in semi-classical or high-energy : called "**semi-classical Gutzwiler trace formula**" limits.

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Classical Hamiltonian

Let $H : \mathbb{R}^{2n} \rightarrow \mathbb{R}$ be a classical Hamiltonian. We will denote by X_H the Hamiltonian vector field

$$X_H = \sum_j \frac{\partial H}{\partial \xi_j} \partial_{x_j} - \frac{\partial H}{\partial x_j} \partial_{\xi_j}$$

Let us denote by Φ_t the classical flow induced by Hamilton's equations with Hamiltonian H , i.e., $\Phi_t(x, \xi) = (x(t), \xi(t))$, where

$$x'(t) = \frac{\partial H}{\partial \xi}(x(t), \xi(t)), \quad \xi'(t) = -\frac{\partial H}{\partial x}(x(t), \xi(t)), \quad x(0) = x, \quad \xi(0) = \xi.$$

Notice that, if

$$\Sigma_E := \{(x, \xi) \in \mathbb{R}^{2n}; H(x, \xi) = E\}$$

is a compact set, then the hamilton flow Φ_t restricted to Σ_E is complete. This follows from the preservation of H by the dynamics, i.e. $H(\Phi_t(x, \xi)) = H(x, \xi)$.

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Closed Orbits

Definition

- 1 A closed orbit (γ, T) of the Hamiltonian H consists of an orbit of X_H which is homeomorphic to a circle and a nonzero real number T so that $\Phi_T(z) = z$ for all $z \in \gamma$.
- 2 T will be called the period of γ .
- 3 We will denote by $T^*(\gamma) > 0$ (the primitive period) the smallest $T > 0$ for which $\Phi_T(z) = z$.

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- 2 T will be called the period of γ .
- 3 We will denote by $T^*(\gamma) > 0$ (the primitive period) the smallest $T > 0$ for which $\Phi_T(z) = z$.

Linear Poincaré map of a closed orbit

Definition

Let (γ, T) with $H(\gamma) = E$ be a closed orbit. We restrict the flow to $\Sigma_E := \{H = E\}$ and take an hypersurface S_γ inside Σ_E transversal to γ at the point z_0 . Let $z \in S_\gamma$ near z_0 . Then $\Phi_t(z)$ intersect S_γ at time $T_z \sim T_{z_0}^*$. The associated return map P_γ is the map $P_\gamma : S_\gamma \ni z \rightarrow \Phi_{T_z}(z)$. It's a local diffeomorphism fixing z_0 . Its linearization $\Pi_\gamma := P'_\gamma(z_0)$ is the **linear Poincare map**, an inversive (symplectic) endomorphism of the tangent space $T_{z_0}S$.

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non degenerate closed orbit

Definition

A closed orbit will called **non degenerate** if **1** is not an eigenvalue of the Poincaré map Π_γ .

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Admissible symbols

Definition

We say that $m : \mathbb{R}^n \rightarrow [0, +\infty[$ is an order function if there are constants $C_0 > 0, N_0 > 0$ such that

$$m(x) \leq C_0 \langle x - y \rangle^{N_0} m(y).$$

Definition

Let m be an order function on \mathbb{R}^n . We let $S(\mathbb{R}^n, m) = S(m)$ be the set of $a \in C^\infty(\mathbb{R}^n)$ such that for every $\alpha \in \mathbb{N}^n$, there exists $C_\alpha > 0$, such that $|\partial^\alpha a(x)| \leq C_\alpha m(x)$. In particular $S(1)$ is the space of symbols which are bounded with all their derivatives.

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For $p \in S(m)$, we use the Weyl quantization :

$$p^w(x, hD_x)u(x) = \frac{1}{(2\pi h)^n} \iint e^{i(x-y)\xi/h} p\left(\frac{x+y}{2}, \xi\right) dx d\xi.$$

$$u \in S(\mathbb{R}^n).$$

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Theorem

Let $m \geq 1$ be an order function, and let $p \in S(m)$ be real-valued. We assume that $(p + i)$ is elliptic. Let $a < b$ such that $p^{-1}([a, b])$ is compact. Then for $f \in C_0^\infty(\mathbb{R})$, $f(p^w(x, hD_x))$ is trace class, and

$$\mathrm{tr} \left(f(p^w(x, hD_x)) \right) \sim \sum_j b_j(f) h^j,$$

with

$$b_0 = \frac{1}{(2\pi)^n} \int f(p(x, \xi)) dx d\xi.$$

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Theorem

Let $H \in S(1)$ be real valued. We assume that $H^{-1}[E - \delta(E), E + \delta(E)]$ is compact, and that $\nabla H \neq 0$ on $H^{-1}[E - \delta(E), E + \delta(E)]$. There exists $C > 0$ large enough such that for $\hat{g} \in C_0^\infty(\left] -\frac{1}{C}, \frac{1}{C} \right[; \mathbb{R})$ and $f \in C_0^\infty(\left] E - \delta(E), E + \delta(E) \right[; \mathbb{R})$, we have :

$$D_{f,\theta}^{\text{Weyl}}(\lambda) := \text{tr} \left(f(H) \theta \left(\frac{\lambda - H}{h} \right) \right) \sim (2\pi h)^{1-n} \sum_{j=0}^{\infty} h^j a_j(\lambda), \quad (h \searrow 0).$$

$$a_0(\lambda) = \hat{g}(0) f(\lambda) \int_{\Sigma_\lambda} \frac{d\Sigma_\lambda}{|\nabla H|},$$

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$$N_{[a,b]}(h) = (2\pi h)^{-n} \text{vol}(p^{-1}([a, b])) + O(h^{-n+1}).$$

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Assumptions

Let $\hat{H} := H^w(x, hD_x)$, where $H \in S(1)$ is real-valued.
Let $(\Gamma_E)_T$ be the set of all periodic orbits on Σ_E with periods T_γ , $0 < |T_\gamma| \leq T$ (including repetitions of primitive orbits and assigning negative periods to primitive orbits traced in the opposite sense).

Assumptions

- 1 (H.1) There exists $\delta E > 0$ such that $H^{-1}([E - \delta E, E + \delta E])$ is a compact set of \mathbb{R}^{2n} and E is a noncritical value of H (i.e. $H(z) = E \rightarrow \nabla H(z) \neq 0$).
- 2 (H.2) For any $T > 0$, $(\Gamma_E)_T$ is a discrete set, with periods $-T \leq T_{\gamma_1} < \dots < T_{\gamma_N} \leq T$.
- 3 (H.3) All γ in $(\Gamma_E)_T$ are nondegenerate, i.e. 1 is not an eigenvalue for the corresponding "Poincaré map", P_γ .
We can now state the Gutzwiller trace formula. Let $\hat{A} = Op_{\hbar}^W(A)$ be a quantum observable, such that A satisfies the following
- 4 (H.4) there exists $\delta \in \mathbb{R}$, $C_\gamma > 0$ ($\gamma \in \mathbb{N}^{2n}$), such that

$$|\partial_z^\gamma A(z)| \leq C_\gamma \langle z \rangle^\delta \quad \forall z \in \mathbb{R}^{2n},$$

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Let χ be a smooth function with a compact support contained in $]E - \delta E, E + \delta E[$, equal to 1 in a neighborhood of E .

Then the following “regularized density of states” $\rho_A(E)$ is well defined:

$$\rho_A(E) = \text{Tr} \left(\chi(\hat{H}) \hat{A} \chi(\hat{H}) g \left(\frac{E - \hat{H}}{\hbar} \right) \right)$$

Note that (H.1) implies that the spectrum of \hat{H} is purely discrete in a neighborhood of E so that $\rho_A(E)$ is well defined. Then we have the following:

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Then we have the following:

Theorem

Assume (H.0)-(H.3) are satisfied for H , (H.4) for A and (H.5) for g . Then the following asymptotic expansion holds true, modulo $O(h^\infty)$,

$$\rho_A(E) \equiv D_{g,\chi}^{\text{Weyl}}(E) + \sum_{\gamma \in (\Gamma_E)_T} D_\gamma(E).$$

$$D_{g,\chi}^{\text{Weyl}}(E) = \widehat{g}(0)(2\pi h)^{-(n-1)} \int_{\Sigma_E} A(\alpha) d\sigma_E(\alpha) + \sum_{k \geq -n+2} c_k(\widehat{g}) h^k$$

$$D_\gamma(E) = (2\pi)^{n/2-1} \left\{ \widehat{g}(T_\gamma) \frac{e^{i(S_\gamma/h + \sigma_\gamma \pi/2)}}{|\det(I - P_\gamma)|^{1/2}} \int_0^{T_\gamma^*} A(\alpha_s) ds \right. \\ \left. + \sum_{j \geq 1} d_j^\gamma(\widehat{g}) h^j \right.$$

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semi-classical Gutzwiller trace formula

where

- 1 $A(\alpha)$ is the classical Weyl symbol of \hat{A} ,
- 2 T_γ^* is the primitive period of γ ,
- 3 σ_γ is the Maslov index of γ ($\sigma_\gamma \in \mathbb{Z}$),
- 4 $S_\gamma = \oint_\gamma pdq$ is the classical action along γ ,
- 5 $c_k(\hat{g})$ are distributions in \hat{g} with support in $\{0\}$,
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- 7 $d\sigma_E$ is the Liouville measure on Σ_E :

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semi-classical Gutzwiller trace formula

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